

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number **10/602,579**

Filing Date **06/24/2003**

First Named Inventor **Holtcamp, et al.**

Group Art Unit **1755**

Examiner Name **Unassigned**

Total Number of Pages in This Submission

4

reference

Attorney Docket Number **2003U014.US**

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Preliminary Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Form-1449
- ☒ Information Disclosure Statement
 - ☒ 1449 Form
 - ☒ Copies of Cited References
- ☐ Certified Copy of Priority Documents)
- ☐ Response to Missing Part/Incomplete Application
 - ☐ Response to Missing Parts

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer Two (2)
- ☐ Notice to File Corrected Application Papers
- ☐ Substitute Specification

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Postcard

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
Or
Individual name

Kevin M. Fadikner

Registration No.

45,427

Signature

Date

October 10, 2003

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

October 10, 2003

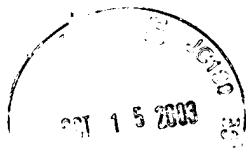
Typed or printed name

Tammy L. Hodges

Signature

Date

October 10, 2003



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2003U014.US
In Re Application of: Holtcamp, et al.			
U.S. Serial No. 10/602,579	Filing Date 06/24/2003	Examiner Unassigned	Group Art Unit 1755

Address to:
**Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☒ This application is a:

☐ Continuation / Divisional,

☒ Continuation-in-Part,

of U.S.S.N. 10/058,571 filed January 28, 2002, and U.S.S.N. 10/186,361 filed June 28, 2002. Copies of the cited references are

☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

October 10/03

Date



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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		2003U014.US	10/602,579
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant	Confirmation No.:
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Holtcamp, et al.	
(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		June 24, 2003	1755

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	4,377,699	Mar 22, 1983	Maurer	548	469	Sept 9, 1981
	A2	6,147,173	Nov 14, 2000	Holtcamp	526	133	Nov 13, 1998
	A3	6,211,105	Apr 3, 2001	Holtcamp	502	103	July 20, 2000
	A4	6,239,062	May 29, 2001	Cribbs	502	167	Sept 2, 1999
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						
	A14						
	A15						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	01/27125	Apr 19, 2001	WO	C07F	17/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	
	C3	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.